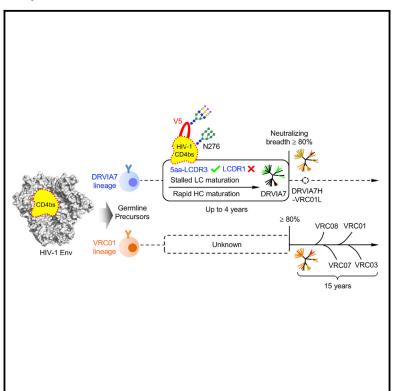
# **Immunity**

### **Key gp120 Glycans Pose Roadblocks to the Rapid Development of VRC01-Class Antibodies in an HIV-1-Infected Chinese Donor**

#### **Graphical Abstract**



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#### In Brief

Although VRC01-class antibodies have been extensively studied, early events in the emergence of this antibody class in HIV-1 infection remain unknown. Zhu, Shao, and colleagues find through structural, functional, and repertoire analyses that key gp120 glycans appear to stall rapid development of a VRC01-like antibody lineage in a Chinese patient.

#### **Highlights**

- Early-stage development of a VRC01-class antibody in a Chinese HIV patient
- VRC01-class heavy chains can evolve within 2 years
- VRC01-class light-chain CDR3 signature is encoded within the B cell repertoire
- Light-chain N terminus and CDR1 conformation need to accommodate key gp120 glycans

#### **Accession Numbers**

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# Key gp120 Glycans Pose Roadblocks to the Rapid Development of VRC01-Class Antibodies in an HIV-1-Infected Chinese Donor

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#### **SUMMARY**

VRC01-class antibodies neutralize diverse HIV-1 strains by targeting the conserved CD4-binding site. Despite extensive investigations, crucial events in the early stage of VRC01 development remain elusive. We demonstrated how VRC01class antibodies emerged in a Chinese donor by antigen-specific single B cell sorting, structural and functional studies, and longitudinal antibody and virus repertoire analyses. A monoclonal antibody DRVIA7 with modest neutralizing breadth was isolated that displayed a subset of VRC01 signatures. X-ray and EM structures revealed a VRC01-like angle of approach, but less favorable interactions between the DRVIA7 light-chain CDR1 and the N terminus with N276 and V5 glycans of gp120. Although the DRVIA7 lineage was unable to acquire broad neutralization, longitudinal analysis revealed a repertoire-encoded VRC01 light-chain CDR3 signature and VRC01-like neutralizing heavy-chain precursors that rapidly matured within 2 years. Thus, light chain accommodation of the glycan shield should be taken into account in vaccine design targeting this conserved site of vulnerability.

#### **INTRODUCTION**

The envelope glycoprotein (Env) spike of human immunodeficiency virus type-1 (HIV-1) is a trimer of heterodimers, each containing a receptor-binding protein, gp120, and a transmembrane fusion protein, gp41 (Wyatt and Sodroski, 1998). Dense heterogeneous N-linked glycans and hypervariable loops on the Env surface form barriers to the elicitation of broadly neutralizing antibodies (bNAbs) (Julien et al., 2013; Lyumkis et al., 2013; Pancera et al., 2014). Nonetheless, a small fraction of infected individuals are capable of developing bNAbs after several years of infection (Gray et al., 2011; Li et al., 2007; Mikell et al., 2011; Walker et al., 2010). The identification and characterization of bNAbs and their epitopes are considered paramount to guiding rational vaccine design (Walker and Burton, 2010). Due to the recent advances in antibody isolation, panels of bNAbs with extraordinary breadth and potency have been reported (Burton and Mascola, 2015; Klein et al., 2013; Kwong and Mascola, 2012; Kwong et al., 2013).

Of the conserved HIV-1 epitopes identified thus far, the CD4-binding site (CD4bs) on gp120 appears to be an ideal target for vaccine design (Zhou et al., 2007). However, the CD4bs is recessed between two subunits of the Env trimer, with a narrow range of approach angles by antibodies (Chen et al., 2009; Lyumkis et al., 2013). A class of CD4bs-directed bNAbs, with VRC01 being the first of the class (Wu et al., 2010), has been identified from multiple donors, suggesting that the immune system is capable of recognizing this recessed site of vulnerability.



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Extensive efforts have been made to study the VRC01 class of bNAbs. Co-crystal structures with the gp120 core elucidate a common interaction strategy employed by all VRC01-class bNAbs (Scheid et al., 2011; Wu et al., 2011; Zhou et al., 2010, 2013, 2015), and next-generation sequencing (NGS) analysis reveals a converged pattern of maturation toward a set of "VRC01 signatures" (Zhou et al., 2013). These signatures include the preferential use of the IgHV1-2\*02 germline allele, a conserved maturation pattern of heavy chains, a stringent 5-aa light-chain complementarity determining region (CDR) 3, and specific mutations in the light-chain CDR1 (Wu et al., 2011; Zhou et al., 2013). Based on this information, putative VRC01 precursors and intermediates are inferred to guide CD4bs immunogen design (Jardine et al., 2013, 2015). However, important questions remain unanswered: how much do these VRC01 signatures contribute to the early lineage development? What is the order of molecular events in B cell maturation leading to the VRC01-like neutralizing activity? Do these steps involve overcoming critical barriers that need to be accounted for during immunogen design? The complex patterns of VRC01 lineage development over a 15-year period have been reported (Wu et al., 2015), but bNAbs identified from the earliest time point already possess the mature VRC01 signatures and broad neutralizing activity, indicating that this lineage reached a "plateau" prior to the timeframe studied. Thus, details of early-stage VRC01 development remain unknown.

Here we addressed these questions by analyzing samples from an HIV-1-infected Chinese patient (Hu et al., 2012). This donor, DRVI01, was a long-term non-progressor (LTNP) with increasing serum reactivity to the CD4bs over a period of 5 years. We isolated a monoclonal antibody (mAb), DRVIA7, from the 5<sup>th</sup> vear sample, which exhibited a subset of VRC01 signatures but with limited neutralizing activity. Structures revealed that DRVIA7 was a VRC01-like antibody but with critical differences in the light-chain CDR1 and N terminus, both of which interact with gp120 glycans. Functional analysis confirmed that a gp120 glycan patch was a barrier to achieving neutralization breadth. We traced the DRVIA7 antibody lineage temporally within the unbiased B cell repertoires and utilized single-genome amplification (SGA) to investigate the viral co-evolution, which confirmed the inhibitory role of the gp120 glycan patch identified. In summary, our study identified a VRC01-class antibody lineage from a Chinese patient, demonstrated that the glycan shield stalled the development of VRC01-like broadly neutralizing activity, and provided functional VRC01 precursors and early intermediates to facilitate B cell lineage-based design of CD4bs immunogens.

#### **RESULTS**

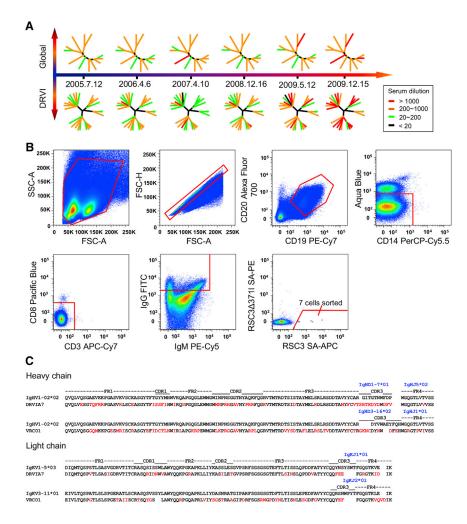
### Identification and Characterization of an HIV-1-Infected Chinese Donor

The analysis of HIV-1-infected individuals displaying prolonged control of viremia, or LTNPs, presents opportunities to learn how such control is achieved by the immune system (Tomaras et al., 2011; Walker et al., 2010). In this study, we analyzed the HIV-1-neutralizing antibody response in a Chinese LTNP. Historically, two sources have contributed to the HIV epidemic of the last century in China: an early wave of injecting drug users (IDUs) in the southwest Yunnan province that borders on the heroin-producing

"Golden Triangle" region in the mid to late 1980s (Ma et al., 1990) and a later outbreak in the former plasma donors (FPDs) through contamination of blood plasma transfusion by the IDU's HIV-1 strain in mid 1990s (Li et al., 2012). After 10 years of infection without antiviral treatment, some FPD survivors displayed relatively normal CD4<sup>+</sup> T cell counts and a certain level of viral control consistent with that of LTNPs. The patient studied here, DRVI01, was selected from the top 5% neutralizers based on serum screening against a panel of more than 20 viruses (Hu et al., 2012). In brief, DRVI01 entered the study at the age of 40 with informed consent and was procedurally followed up every 6 months from 2005 to 2010. This donor carried a clade-B' strain of HIV-1, with a viral load ranging from 74,200 to 310,000 copies/ mL and a CD4<sup>+</sup> T cell count ranging from 335 to 769 cells/μL during the period studied. Serum neutralization was tested against a global panel containing 12 viruses from diverse clades (Global panel) (deCamp et al., 2014) and a separate panel containing 25 viruses established at the Division of Research on Virology and Immunology (DRVI panel) of the China CDC. Over 95% breadth was observed at all the time points studied (Figure 1A; Table S1). The average potency increased from 270 and 246 geometric mean titers (GMTs) in 2005 to 736 and 706 GMTs in 2009 for the two virus panels, respectively. The results indicated rapid development of neutralizing antibodies within this Chinese patient over a 5-year period.

### Identification of a CD4-Binding Site-Directed VRC01-like Antibody, DRVIA7

DRVI01 sera displayed strong CD4bs specificity and some V1V2 (variable regions 1 and 2) reactivity. We isolated CD4bs-directed mAbs from a 2009 sample (Figure 1B). A CD4bs-specific probe pair, RSC3 and RSC3∆371I, was used to identify antibody-expressing B cells targeting the CD4bs by fluorescence-activated cell sorting (FACS) (Wu et al., 2010). In brief, peripheral blood mononuclear cells (PBMCs) from DRVI01 were incubated with cell markers and two RSC3 probes. CD4bs-specific memory B cells with the phenotype CD19+CD20+CD14-CD3-CD8-IgG+ RSC3<sup>+</sup>RSC3∆371I<sup>−</sup> were sorted into 96-well microtiter plates. Of seven sorted memory B cells, five were amplified with matching heavy and light chain genes (Figure 1B). After cloning into the IgG1 vector, the full IgG mAbs were expressed transiently in 293F cells. Of the five isolated mAbs, DRVIA1, 3, 4, and 6b showed strong RSC3 binding in ELISA, whereas DRVIA7 bound weakly to RSC3 as characterized by Bio-Layer Interferometry (Figure S1A), and none of the mAbs were reactive to RSC3Δ371I. We further confirmed their CD4bs specificity using a YU2 gp140-foldon (gp140-F) trimer with the D368R mutation (Figure S1B; Yang et al., 2002). Compared to DRVIA1-6b, DRVIA7 exhibited greater neutralizing ability but had limited breadth (Figure S1C). Sequence analysis revealed a clonal family consisting of DRVIA1, 3, 4, and 6b, and an outlier DRVIA7 (Figure S1D). The DRVIA1-6b clonal family was characterized by a low level (3.1%-6.3%) of somatic hypermutation (SHM), a 19-aa heavy chain CDR3 (HCDR3) loop (Kabat numbering), and a 9-aa κ chain CDR3 loop. By contrast, DRVIA7 displayed VRC01-like sequence features: SHM of 19.0% for the  $V_H$  gene and 17% for the  $V_\kappa$  gene, a heavy chain of IgHV1-02\*02 allelic origin, a relatively short HCDR3 loop (11 aa), and a distinctive 5-aa κ chain CDR3 loop containing a "F91E96" motif ("Y91E96" in VRC01) (Zhou et al., 2013). These



findings suggested that DRVIA7 might be a VRC01-class antibody that had acquired a subset of VRC01 signatures but not yet broad neutralizing activity, thus providing an opportunity to study the emergence of VRC01-class antibodies.

# Structural Differences between DRVIA7 and VRC01-Class Antibody Interactions with gp120 and gp140 Trimer Primarily with the Glycan Shield

The limited breadth and potency suggested that some important features present in the mature VRC01 were missing in DRVIA7 (Figure S1C). To elucidate these features, we determined the crystal structure of unliganded DRVIA7 Fab at 2.9 Å resolution (Table S2), which resembled VRC01 and other bNAbs of this class such as VRC03, PGV04, and 12A21 with a Cα root mean square deviation (RMSD) of <1.0 Å over the antibody variable regions (V<sub>H</sub> and V<sub>L</sub>) (Figures S2A and S2B; Zhou et al., 2013). Using an HIV-1 gp120 from the 93TH057 strain, we next determined the crystal structure of a gp120 core-DRVIA7 Fab complex at 3.4 Å resolution (Figure 2A; Table S2). The gp120 was deglycosylated with endoglycosidase H (EndoH), but only after complex formation to preserve glycan interactions within the antibody-gp120 interface. Overall, DRVIA7 buried 957 Å<sup>2</sup> of solvent-accessible gp120 protein surface overlapping the CD4bs. Negative-stain EM and crystal structures confirmed that DRVIA7 bound to the

Figure 1. Identification of a VRC01-Class Antibody DRVIA7 from HIV-1-Infected Chinese Donor DRVI01

(A) The neutralizing ability of DRVI01 sera from six time points from 2005 to 2009 were tested against the global and DRVI panels of pseudoviruses. Dendrograms are shown at each time point representing the pseudovirus panels with nodes representing individual viruses colored according to neutralizing potency.

(B) Single B cell sorting of CD4bs-directed monoclonal antibodies by flow cytometry. Around 10 million PBMCs from DRVI01 were incubated with cell markers and sorting probes. Seven B cells that reacted with RSC3, but not RSC3Δ371I, were then sorted into 96-well microtiter plates. Abbreviations are as follows: FITC, fluorescein isothiocyanate; FSC-H, forward scatter height; FSC-A, forward scatter area; and SSC, side scatter area.

(C) Sequence analysis of DRVIA7 and VRC01 heavy and light chains with alignment to respective germline genes. Mature antibody residues that differ from germline are colored in red.

See also Table S1 and Figure S1.

SOSIP gp140 trimer with a similar angle of approach to VRC01 and nearly identical interactions with gp120 (Figures 2B–2D and S2C–S2G; Derking et al., 2015; Lyumkis et al., 2013; Zhou et al., 2010). For example, 8 of 11 HCDR2 residues and 3 of 4 HCDR3 residues on VRC01 and DRVIA7 that contacted gp120 were conserved between the two antibodies, while their LCDR3 loops adopted identical conformations and contacted a similar set

of gp120 residues (Figure S2C). Nevertheless, DRVIA7 and VRC01 heavy chains could still differ in their sensitivity to gp120 mutations. To examine this possibility, we generated a chimeric antibody with DRVIA7 heavy chain and mature VRC01 light chain (DRVIA7H-VRC01L). Indeed, the H54 of DRVIA7 heavy chain made this chimeric antibody less tolerant of the G472A mutation of gp120 than VRC01 (Figures S2H and S2I). However, the substantial differences in their neutralizing ability (Figure S1C) could not be explained by these primary protein-protein interactions.

Further analysis revealed that the LCDR1 loops and N termini of DRVIA7, VRC01, and 12A21 interacted with the glycan shield in subtly different ways (Figures 2E and S3A–S3C). Specifically, VRC01 had a 2-aa deletion in its LCDR1 and a tyrosine that directed the first GlcNAc of the N276 glycan away from the antibody (Zhou et al., 2010). Similarly, 12A21 LCDR1 appeared to push the GlcNac out of the way. By contrast, two hydrophobic residues in DRVIA7 LCDR1 (I29 and W32) shifted and twisted the GlcNac in N276 by 5.5 Å and 30° (glycotorsion  $\varphi$ ), respectively, compared to the VRC01-bound conformation. Superposition of a more intact N276 glycan structure (Scharf et al., 2014) with the gp120-bound DRVIA7, VRC01, and 12A21 structures suggested a potential clash with DRVIA7, but not with VRC01 or 12A21. The DRVIA7 and VRC01 structures also differed

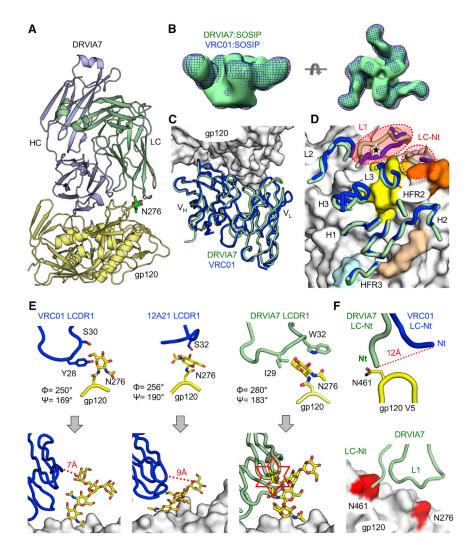


Figure 2. DRVIA7 and VRC01 Recognition of gp120 and gp140 Differed Primarily in Light-**Chain Interactions with Glycans** 

(A) Ribbon diagrams of the crystal structure of DRVIA7 Fab (light blue and green) bound to 93TH057 gp120 (yellow) at 3.4 Å resolution are displayed. The N276 glycan is shown in stick-andball representation with carbon atoms colored green, nitrogen atoms colored blue, and oxygen atoms colored red.

(B) Negative-stain FM reconstruction at 21–22 Å of DRVIA7 Fab bound to JRFL SOSIP trimer (green surface) is superposed onto the previously published reconstruction of the BG505 SOSIP trimer bound to VRC01 (blue mesh, EMDB: EMDB-6252). (C) Ribbon diagrams of the variable regions of DRVIA7 (green) and VRC01 (blue) are shown after their structures were superposed on gp120 (white molecular surface).

(D) The gp120-contacting regions (designated by their CDRs and FR regions) of DRVIA7 and VRC01 are displayed over the molecular surface of gp120 as in (C). The CD4-binding loop on the gp120 surface is colored in beige, the base of the V1/V2 loop in light blue, V5 loop in orange, and Loop D in yellow. The position of the N276 glycan is indicated by a black star. The light-chain CDR1s and N termini (Nt) display the most structural variance between the two antibodies and are highlighted by red dotted circles.

(E) The N276 glycan, from their corresponding gp120 structures, is displayed when bound to VRC01 LCDR1 (blue, left), 12A21 LCDR1 (blue, middle), and DRVIA7 LCDR1 (light green, right). Below, coordinates for Man<sub>3</sub>GlcNAc<sub>2</sub> attached to N276 from a previously published structure (PDB: 4P9H) are superposed on the protein-proximal GlcNAc visible in the VRC01, 12A21, and DRVIA7 structures

(F) The DRVIA7 and VRC01 light-chain N termini are shown interacting with the gp120 V5 loop. Below, the N276 and V5 loop glycosylation sites are colored red on the white gp120 surface. Ribbon diagrams of DRVIA7 N termini and LCDR1 loops are displayed as above.

See also Table S2 and Figure S2.

at their light-chain N termini, which interacted with an N-linked glycan N461 on the gp120 V5 loop (Figure 2F). Every residue from 460 to 463 was a potential N-linked glycosylation site with 14%-44% sequence conservation, and 91% of the 24,602 isolates analyzed here contained at least one glycosylation site in this V5 region. Thus, the N276 glycan and the V5 glycan, although 15 Å apart (Figure 2F), might together play an inhibitory role for DRVIA7 recognition. Indeed, structural modeling showed an unfavorable energy score for the DRVIA7 LCDR1 and N terminus that interacted with this glycan cluster, which could be substantially reduced by swapping the LCDR1 loop with VRC01 or 12A21 sequences and by truncating the light-chain N terminus (Figure S3D). Of note, the importance of N276 and V5 glycans has already been reported for the in vivo selection and function of CD4bs-directed bNAbs (Freund et al., 2015; Wang et al., 2015). Taken together, these findings suggested that glycan accommodation by the antibody light chain could be a critical determinant of VRC01-class neutralizing ability.

#### **Unfavorable Light Chain Accommodation of the Glycan Shield Limits DRVIA7 Neutralizing Ability**

After the structural analysis, we examined the effect of glycosylation on the binding of DRVIA7 and DRVIA7H-VRC01L, which showed an identical angle of approach when bound to the SOSIP gp140 trimer, as indicated by negative-stain EM (Figures S2D-S2G). We first measured their binding toward glycosylated and deglycosylated gp120s of HIV-1 strains YU2, 93TH057, and JRFL. As reflected by the  $K_D$  ( $k_{off}/k_{on}$ ) values, DRVIA7 exhibited significantly higher affinity toward deglycosylated gp120 whereas the chimeric antibody displayed an opposite effect, confirming the inhibitory role of glycans for DRVIA7 binding (Figure 3A). Analysis of the binding kinetics revealed that deglycosylation improved the on rates for both antibodies, while showing a differential effect on the off rates (Figures 3A and S3E). Specifically, deglycosylation drastically increased the off rates for DRVIA7H-VRC01L, in comparison to a plateaued dissociation when gp120 was glycosylated. By contrast, deglycosylation

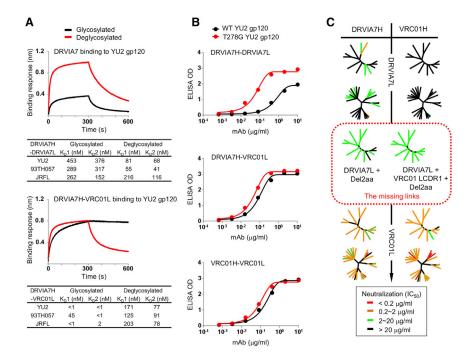


Figure 3. Interaction between DRVIA7 Light Chain and the N276 Glycan Determines the Neutralizing Breadth of the Antibody

(A) Octet association and dissociation curves for DRVIA7 or DRVIA7H-VRC01L toward glycosylated or endoH deglycosylated YU2 gp120 at 1,000 nM concentration (above). Binding response (nm shift) is plotted as a function of time (s), with  $K_{\text{D}}$  values indicated below.

(B) ELISA binding for DRVIA7, DRVIA7H-VRC01L, and VRC01 against YU2 gp120 (black) and T278G (i.e., no glycan at N276) YU2 gp120 (red), with the optical density (OD) plotted as a function of antibody dilution (ug/mL).

(C) The neutralizing activity of DRVIA7, VRC01H-DRVIA7L, DRVIA7H-VRC01L, and VRC01 against both the global and DRVI panels of pseudoviruses. In addition, the neutralizing activity of two DRVIA7 variants (enclosed by red dotted lines), DRVIA7H-DRVIA7L + Del2aa and DRVIA7H-DRVIA7L + VRC01 LCDR1 + Del2aa, against the global panel is shown. Neutralization potencies (Tables S4A and S4B) are mapped onto dendrogram representations of the virus panels as in Figure 1. See also Figure S3.

showed a lesser effect on the off rates for DRVIA7. Our results thus highlighted the impact of glycosylation on CD4bs recognition by the VRC01-class bNAbs, consistent with another VRC01-class bNAb, PGV04 (Lyumkis et al., 2013). The specific role of the N276 glycan was further illustrated by antibody binding to YU2 gp120 lacking this glycosylation site (Figure 3B). DRVIA7 showed a more pronounced binding to the mutant gp120, while VRC01 and DRVIA7H-VRC01L recognized both gp120s in a similar manner.

We next investigated whether the VRC01-like LCDR1 signature combined with an N terminus truncation could help DRIVA7 better accommodate the inhibitory glycan patch, and as a result, improve its neutralizing activity (Figure 3C). We designed two DRVIA7 variants—one containing a VRC01 LCDR1 and the other containing a VRC01 LCDR1 and a 2-aa deletion at the light-chain N terminus-to facilitate the comparison with DRVIA7 and VRC01 (Figure S3D). The N-terminal truncation of the DRVIA7 light chain significantly increased the breadth, which was further enhanced by the introduction of VRC01 LCDR1 (Figure 3C, middle). Inclusion of the VRC01 LCDR1, but not the N-terminal deletion, had little effect on the breadth. Lastly, the use of the mature VRC01 light chain substantially improved potency (Figure 3C, bottom left). Our analysis thus demonstrated that proper light chain maturation was critical in the early development of VRC01-class antibodies to accommodate the inhibitory gp120 glycans. Of note, DRVIA7H-VRC01L neutralized 3 out of 16 VRC01-resistant isolates (Figure S3F), suggesting a potential role of the heavy chain in strain specificity.

## Dynamic Antibody Evolution Revealed by Unbiased Analysis of DRVI01 B Cell Repertoires across Three Time Points

We applied the unbiased repertoire analysis (He et al., 2014) to study the DRVI01 memory B cell repertoire across three time points (2006, 2008, and 2009). For each time point, 10–20 million PBMCs were used to prepare antibody H,  $\kappa$ , and  $\lambda$  chain libraries from the 5'-RACE PCR products of the B cell transcripts. In total, NGS on the Ion Torrent Personal Genome Machine (PGM) generated more than 11.7 million raw reads. After data processing (He et al., 2014; Wu et al., 2011), 1.52 to 3.89 million antibody chains were obtained for the three time points studied (Table S3).

We first analyzed the germline gene usage (Figure 4A). IgHV1-2, the germline family of DRVIA7 heavy chain, accounted for 3.7%, 3.3%, and 3.9% of the heavy chains at 2006, 2008, and 2009, respectively, whereas IgHV4-34 and IgHV4-39 were predominantly used across the time points. The low frequency of IgHV1-2 suggested that DRVIA7 did not constitute a major lineage within the repertoire, consistent with the weak binding and neutralization of DRVIA7, which might have limited the B cell expansion. IgKV1-5, the germline family of DRVIA7 light chain, accounted for 8.2%, 10.4%, and 8.1% of the  $\kappa$  chains at 2006, 2008, and 2009, respectively. We next determined the distribution of germline gene divergence, or SHM (Figure 4B). Although the heavy chains displayed a modest 1.5% difference in germline divergence between adjacent time points, the light chains showed a rapid change on a scale of 10%-20%. In particular, a large population of germline light chains was found in the 2008 repertoire, constituting nearly 20% of the  $\kappa$  chains. Lastly, in terms of CDR3 loop length, we observed a rather dynamic heavy-chain distribution compared to a steady light-chain distribution (Figure 4C). More heavy chains with 9-aa and 15-aa HCDR3 loops were found in the 2008 and 2009 repertoires, respectively. Thus, the production of a large number of germline light chains that rapidly diverged, coupled with notable shifts of HCDR3 length in the context of a stable level of SHM for heavy chains, demonstrated a dynamic B cell repertoire in this donor.

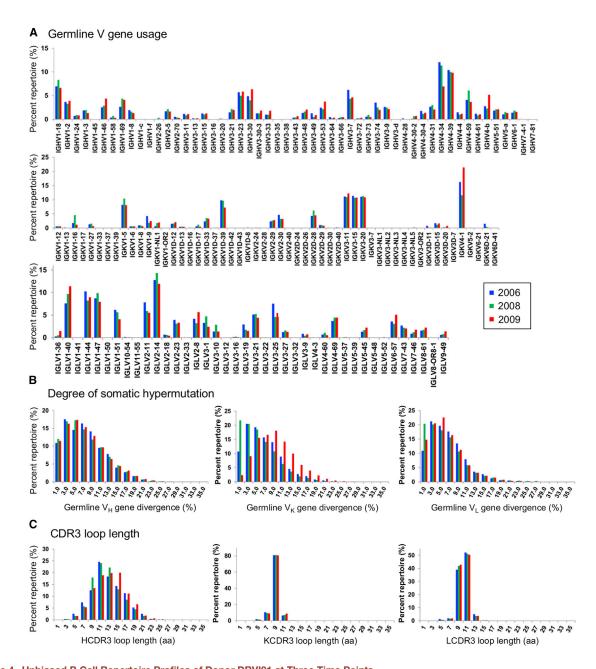


Figure 4. Unbiased B Cell Repertoire Profiles of Donor DRVI01 at Three Time Points

The distribution is plotted for (A) germline V gene usage for heavy and light ( $\kappa$  and  $\lambda$ ) chains, (B) germline gene divergence, or degree of SHM, and (C) CDR3 loop length (H, heavy chain; K,  $\kappa$  chain; L,  $\lambda$  chain). Color coding denotes the time point analyzed, with 2006 shown in blue, 2008 in green, and 2009 in red. See also Table S3.

# Longitudinal Tracing of the DRVIA7 Lineage over 4 Years of Maturation Revealed Stalled Light Chain Maturation to Accommodate Glycans

We investigated the DRVIA7 lineage longitudinally by tracing DRVIA7 heavy and light chains in the unbiased repertories obtained from three time points (Figure 5A). We first analyzed the 2009 time point, from which DRVIA7 was identified. The heavy and light chain repertoires with respect to DRVIA7 were visualized via the identity-divergence two-dimensional (2D) plots. As expected, we observed two distinct islands on the 2D plots cor-

responding to the DRVIA7 somatic population. Specifically, the heavy chain population showed a divergence of 18%–27% (on average 23.1%) relative to the IgHV1-2 germline gene with a DRVIA7 identity of 85%–95%. In contrast, the light chain population displayed a lower divergence of 13%–20% and a DRVIA7 identity of 90%–95%. Using the cross-donor analysis (Zhu et al., 2013), we identified 530 VRC01-like heavy chains from the repertoire (Figure S4A), which, when overlaid on the 2D plot, not only covered an island of sequences most similar to DRVIA7, but also extended into the main population (Figure 5A, column 3, panel 2,

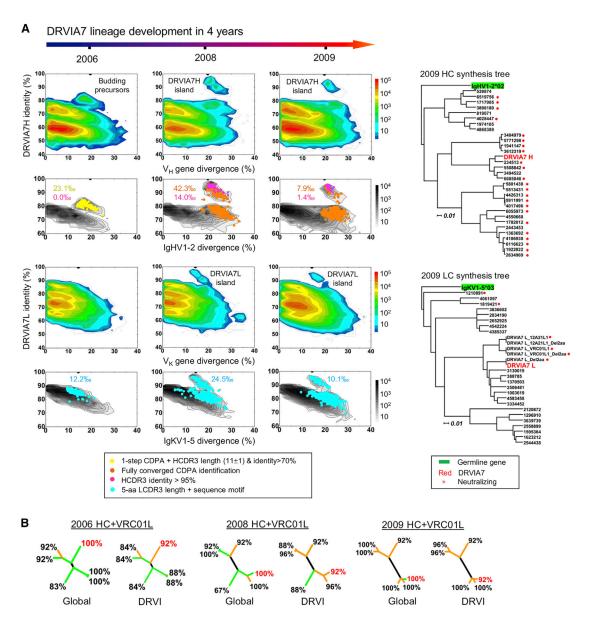


Figure 5. Longitudinal Analysis of the DRVIA7 Lineage Development

(A) Identity-divergence analysis of the unbiased heavy (H) and light (k) chain repertoires of donor DRVI01 at time points 2006, 2008, and 2009, and dendrograms of synthesized heavy and light chains identified from the 2009 repertoire. For the whole-repertoire analysis (rows 1 and 3), sequences are plotted as a function of sequence identity to DRVIA7 and sequence divergence from putative germline genes. Color-coding denotes sequence density. For the analysis of DRVIA7-encoding germline gene families (rows 2 and 4), sequences are plotted as a function of sequence identity to DRVIA7 and sequence divergence from IgHV1-2 and IgKV1-5 for heavy and light chains, respectively. The germline gene family distribution is presented as black contour lines. DRVIA7 and sequences identified based on various criteria are shown on the black contours with per-mille values (%) labeled accordingly. Dendrograms of functionally tested heavy and light chains are rooted by their respective germline allelic genes, IgHV1-2\*02 and IgKV1-5\*03, respectively.

(B) Neutralization of five selected DRVIA7-like heavy chains paired with mature VRC01 light chain was tested against both global and DRVI panels for each time point, with DRVIA7 heavy chain included as a control (labeled in red). Neutralizing breadth is labeled for each antibody, which is shown as a branch of the dendrogram, and each branch is color-coded by average potency (concentration [μg/mL]: <0.1, red; 0.1–1, orange; 1–20, green; and >20, black). See also Tables S4 and S5 and Figures S4 and S5.

in orange). These VRC01-like heavy chains accounted for 7.9‰ of the IgHV1-2 gene family, with DRVIA7 somatic variants—defined by an HCDR3 identity of 95% or greater—representing 1.4‰ of the gene family (in magenta). We selected 30 distinct heavy chains (Figure S4B) for phylogenetic analysis, which showed multiple sub-lineages (Figure 5A, top right). When paired

with mature VRC01 light chain, most reconstituted antibodies showed broad neutralization, confirming that these heavy chains are indeed VRC01-like (Tables S4 and S5). We then identified 703  $\kappa$  chains with the VRC01-class LCDR3 signature (Figure 5A, column 3, panel 4, in cyan; Zhou et al., 2013), which accounted for 10.1% of the IgKV1-5 gene family. A total of 22 distinct light

chains (Figure S4B) were selected for phylogenetic analysis. The light chain dendrogram exhibited a branching pattern similar to that of the heavy chains (Figure 5A, bottom right). Taken together, our analysis revealed a large population of VRC01like antibodies in the 2009 repertoire containing a DRVIA7 lineage that had not yet overcome the glycan barrier.

The 2008 repertoire showed a markedly expanded DRVIA7 lineage with large islands extending from the near-100% identity to the main population on the 2D plots, for both heavy and light chains (Figure 5A, column 2). Compared to 2009, we observed a ~5-fold expansion of VRC01-like heavy chains defined by cross-donor analysis (42.3%) and a  $\sim$ 10-fold expansion of DRVIA7-like heavy chains (14.0%) defined by an HCDR3 identity of 95% or greater (Figure 5A, column 2, panel 2). These VRC01-like heavy chains showed an average divergence of 22.9%, similar to that observed for 2009 (23.1%). Consistently, we observed a 2.5-fold expansion of IgKV1-5 light chains with the VRC01-class LCDR3 signature (Figure 5A, column 2, panel 4). Of note, a group of near-germline light chains (5%-10% divergence) can be seen on the 2D plot, suggesting an active B cell development driven by the light chain selection. Ten distinct VRC01-like heavy chains that were not sequence homologs of the 30 tested 2009 heavy chains, as defined by an identity cutoff of 90%, were selected for functional validation (Figures S4A and S4B). When reconstituted with VRC01 light chain, they produced neutralization profiles similar to those identified from 2009 (Tables S4 and S5).

The 2006 repertoire, however, produced a distinct pattern compared to the two later time points. A population of heavy chains characterized by a low SHM level (10%-25%, with an average of 17.0%) and a DRVIA7 identity of 85% or less was "budding" from the main population (Figure 5A, column 1, panel 1). These IgHV1-2 sequences appeared not to possess the mature VRC01 signature (Zhu et al., 2013) defined by the converged cross-donor analysis (Figure S4A). However, the sequences identified in the first step of this analysis, accounting for 23.1% of the IgHV1-2 gene family, overlapped precisely with the budding population on the 2D plot (Figure 5A, column 1, panel 2, in yellow), suggesting that they might be precursors of DRVIA7 heavy chain. Five heavy chains selected from this population (Figure S4B), when reconstituted with VRC01 light chain, exhibited a remarkable neutralization breath of 84% or greater (Figure 5B; Tables S4 and S5). Light chain analysis revealed that 12.2% of the IgKV1-5 gene family possess the VRC01-class LCDR3 signature, suggesting that it is readily encoded by the repertoire. The VJ recombination that gave rise to the 5-aa LCDR3 occurred constantly, as shown by the near-germline light chains in the 2008 repertoire (Figure 5A, column 2, panel 4). Furthermore, 5-aa LCDR3 loops were identified from most light-chain germline gene families at each time point.

Longitudinal lineage tracing thus uncovered the emergence of this VRC01-class antibody. Although the DRVIA7-like heavy chains were first detected in 2008, we observed functional precursors in 2006 with a divergence at least 6% lower than that of the 2008 and 2009 sequences. This result placed the "birth date" of the DRVIA7 lineage shortly before 2006. Within 4 years, the heavy chains of DRVIA7 lineage showed increasing breadth and potency, when paired with mature VRC01 light chain (Figure 5B). In contrast, although the VRC01-class LCDR3 signature

was present in the repertoire since 2006, the DRVIA7 light chains were unable to improve upon breadth and potency over time (Table S4B), supporting the notion that the light-chain interaction with gp120 glycans is a critical barrier for the VRC01 development. However, the immune system failed to overcome this barrier even though a large pool of germline light chains was generated to accelerate the maturation process (Figure 4B). To better define the range of neutralizing activity of the DRVIA7 lineage, two light-chain somatic variants from the 2009 repertoire were reconstituted with the DRVIA7 heavy chain, which displayed neutralization breadths ranging from 17% to 75% against the global panel and 24% to 60% against the DRVI panel (Tables S5C and S5D). Given the lower level of maturation, antibodies of the DRVIA7 lineage from 2006 should be less broad and potent than those from 2008 and 2009. Nonetheless, DRVIA6b, a clonal lineage utilizing a long HCDR3 loop (19 aa) and therefore a potentially different mode of gp120 binding began to emerge while DRVIA7 was declining in 2009 (Figure S4C), suggesting an active CD4bs-directed polyclonal B cell response in this Chinese donor.

The identification of DRVIA7 suggested that VRC01-class antibodies might be present in other Chinese LTNPs. This notion was supported by the repertoire analysis of five Chinese LTNPs that showed broad serum neutralization (85%-100%) after 7-16 years of infection (Figure S5). Although the heavy chain repertoires obtained from the gene-specific method (He et al., 2014) displayed low frequencies of IgHV1-2 germline gene ranging from 0.3% to 7.2%, cross-donor analysis (Zhu et al., 2013) detected the maturation pattern of VRC01-class heavy chains for two LTNPs. Thus, a systematic, unbiased repertoire profiling will probably identify more VRC01-like antibodies from the HIV-1-infected Chinese cohort.

#### **SGA** Analysis Revealed that Rapid HIV-1 Envelope **Evolution Outpaced the DRVIA7 Antibody Lineage**

We utilized SGA (Salazar-Gonzalez et al., 2008) to investigate viral diversity and virus-host co-evolution in donor DRVI01. A total of 123 full-length Env genes encoding gp160 were sequenced from plasma viral RNA at 2005, 2006, 2008, and 2009. Of these, 111 non-redundant Env sequences were used to construct a neighbor-joining (NJ) phylogenetic tree (Figure 6A). The phylogenetic branches coincided precisely with the time points from which the Env sequences were derived, showing a clear time axis of viral evolution. Overall, the viruses appeared to diversify rapidly over time, as shown by the fact that the genetic distance between phylogenetic branches at 2009 was significantly greater than that at 2005. Consistently, the time point-specific NJ trees also showed a greater intra-group genetic distance for the later time points (Figure 6B).

We then determined the mutational hotspots by projecting the sequence variation onto a gp140 trimer model of the "consensus" Env sequence derived from each time point (Figure 6C). The root mean square fluctuation (RMSF) relative to the consensus sequence was calculated as a measure of the mutational rate for each Env component. Compared to the 2005 Envs, which showed RMSFs of 12.9, 3.6, and 16.8 for gp120, gp41, and gp140, respectively, the 2009 Envs exhibited significantly more variation, with RMSFs of 23.0, 5.3, and 28.2 for the three Env components. This quantitative analysis was

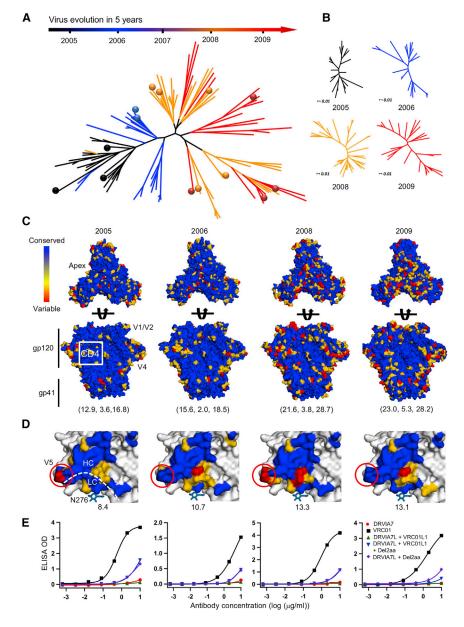


Figure 6. SGA Analysis of Virus Diversity and Co-evolution

(A) Neighbor-joining (NJ) phylogenetic tree of 111 non-redundant HIV-1 Env sequences isolated from donor DRVI01 by single genome amplification (SGA). These Env sequences, shown as phylogenetic branches, are color coded by the time point at which the sample was collected. 14 Env sequences selected for experimental validation are labeled as color-coded dots on the tree.

(B) NJ tree of non-redundant Env sequences for each individual time point, namely 2005, 2006, 2008, and 2009. The four NJ trees have been rescaled to use the same unit of genetic distance.

(C) Trimer models of SGA-derived consensus Env sequences with mutational hotspots labeled on the surface. Top view is shown in panel 1 and side view is shown in panel 2. Molecular surfaces are colored according to sequence conservation. Root-mean-square fluctuation (RSMF) relative to the consensus sequence at each time point is calculated as a diversity score for gp120, gp41, and gp140 and labeled beneath the structural model.

(D) The gp120 core models of SGA-derived consensus sequences with CD4bs and mutational hotspots labeled on the molecular surface, which is colored as in (C). The diversity score for gp120 core is labeled beneath each structural model.

(E) ELISA binding of VRC01, DRVIA7, and DRVIA7 variants to selected Env trimers at the four time points studied.

confirmed by the visual inspection of mutational hotspots on the trimer surface. For example, the 2008 and 2009 Envs contained more insertions and substitutions in the V1V2 region near the apex and the V4 loop, whereas the CD4bs remained conserved across time points with only peripheral mutations. By contrast, gp41 showed a moderate increase in mutations at the same time points, suggesting that the viral repertoire was evolving with differential mutational rates for gp120 and gp41.

We next determined the variation of the DRVIA7 footprint on a gp120 core lacking the V1V2 and V3 loops (Figure 6D). Visual inspection revealed that the mutations mainly occurred at gp120 residues interacting with the DRVIA7 heavy chain- and light chain-contacting interface, which accommodates the V5 loop. Of note, the N276 and V5 glycans appeared to be conserved in all Env sequences analyzed. We selected 14 Envs from the phylogenetic tree (Figure 6A), of which 4 were

synthesized as trimers and used to compare recognition by VRC01 and DRVIA7 variants (Figure 6E). VRC01, but not DRVIA7, bound to all 4 Envs with high affinity, indicating that the viruses in this donor had actively evolved to escape the DRVIA7 lineage. Such viral escape is commonly observed even for bNAb donors, for example, the VRC01 donor (Wu et al., 2012). Recognition of autologous DRVIA7-resistant Envs was improved after N-terminal truncation of the DRVIA7 light chain, whereas incorpo-

ration of the LCDR1 signature alone showed little effect, consistent with the finding that the DRVIA7 lineage began to decline by 2009, probably due to its unfavorable binding and thus poor fitness in B cell selection. Together, SGA and sequence variation analysis revealed a changing face of HIV-1 with a conserved inhibitory glycan patch that raised a significant barrier to the DRVIA7 lineage development during this virus-host co-evolution.

#### DISCUSSION

VRC01-class antibodies share a common mode of recognition and a set of conserved sequence signatures (Diskin et al., 2011; Wu et al., 2011; Zhou et al., 2010, 2013). Longitudinal analysis previously uncovered an unexpected complexity of the VRC01 lineage (Wu et al., 2015). However, the early events of

VRC01 lineage development prior to the timeframe studied still remain elusive (Wu et al., 2015). In this study, we attempted to fill this knowledge gap by investigating DRVIA7, a VRC01-like antibody from a Chinese donor, which presented a different picture of early VRC01 development than that drawn from previous studies of mature VRC01-class antibodies.

First, the level of heavy chain SHM required for effective HIV-1 neutralization was perhaps lower than previously estimated. It is speculated that a long period of time would be needed to acquire the somatic mutations in the framework and HCDR1/2 regions for full VRC01-like neutralizing activity (Wu et al., 2010, 2011, 2015; Zhou et al., 2013). This leads to a conclusion that heavy-chain maturation is a barrier and perhaps the time-limiting step in VRC01 development. In contrast to this paradigm, the DRVIA7 heavy-chain precursors identified from 2006 neutralized diverse HIV-1 isolates when paired with mature VRC01 light chain. More importantly, the heavy-chain SHM increased from 17.0% to 22.9% within only 2 years, indicating that the immune system is capable of rapidly maturing the VRC01-like heavy chains.

Second, the 5-aa LCDR3 signature of VRC01-class bNAbs appeared to be rather common in the B cell repertoire and not as rare as previously perceived. As observed from the VRC01-class bNAbs identified thus far (Scheid et al., 2011; Wu et al., 2011; Zhou et al., 2013; Zhu et al., 2013), the light-chain germline gene is less restricted than that of the heavy chain, allowing both  $\kappa$  and  $\lambda$  genes to be used. For DRVIA7, a new germline gene, IgKV1-5\*01, was used. Our repertoire analysis revealed a stable presence (up to 2% of the repertoire) of light chains with 5-aa CDR3 loops and showed that the immune system could rapidly produce a large number of new germline light chains to facilitate B cell selection. Thus, the LCDR3 signature as a barrier to VRC01 maturation might be readily overcome by an active repertoire.

Third, the interplay of LCDR1 and N terminus with the N276 and V5 glycans has not been fully appreciated within the context of VRC01-class antibody development. Previous work placed high importance on establishing a favorable angle of approach to avoid clash with neighboring gp120 subunits and the V1V2 loop within the trimeric spike (Chen et al., 2009; Zhou et al., 2015), which appears not to be an issue for DRVIA7. The limited neutralizing activity and the stalled lineage development, however, could be well explained by a less favorable DRVIA7 light-chain interaction with gp120 glycans. A DRVIA7 with reengineered N terminus and LCDR1 displayed similar breadth to VRC01, indicating that such small changes to accommodate gp120 glycans at N276 and V5 could transform an antibody with limited neutralization breadth to a bNAb.

In conclusion, DRVIA7 provided us an opportunity to recapitulate the early events in B cell maturation and to assess the barriers for generation of a VRC01-like antibody. Starting from the naive B cell with recombined 5-aa LCDR3, the DRVIA7 lineage precursors emerged with neutralization-competent heavy chains in 2006, rapidly peaked by 2008, and began to decline in 2009, all in the face of rapidly diversifying HIV. Despite the attempts by the immune system to overcome the glycan barrier, the maturation of light-chain N terminus and CDR1 did not occur naturally; however, antibody engineering was able to lead to a neutralization breath on par with VRC01. Thus, our case study of a Chinese LTNP provided much-sought-after information on the emer-

gence of VRC01-class antibodies that had been sorely lacking. Our findings also supported the notion that redesign of the glycan shield around the CD4bs at N276 and the V5 loop might lead to an effective immunogen to elicit VRC01-like antibodies, providing useful information to facilitate rational vaccine design.

#### **EXPERIMENTAL PROCEDURES**

#### **Chinese Donor DRVI01 Samples**

The sera and peripheral blood mononuclear cells (PBMCs) described in this study were collected from an HIV-1-infected Chinese patient, DRVI01, from a former plasma donor (FPD) study cohort founded by the Collaboration for AIDS Vaccine Discovery (CAVD) of the Bill and Melinda Gates Foundation, Chinese National Natural Science Foundation, and National Major Project on Infectious Disease. This study has been reviewed and approved by the Institutional Review Boards (IRBs) of the National Center for AIDS/STD Control and Prevention, the Chinese Center for Disease Control and Prevention. A detailed neutralization analysis has been reported for the samples from this cohort (Hu et al., 2012).

#### Isolation of Monoclonal Antibodies from Donor DRVI01

Isolation of CD4bs-directed DRVIA mAbs was achieved by single-cell FACS using the CD4bs-specific RSC3 and RSC3 $\Delta$ 371I probes (Wu et al., 2010). Memory B cells were sorted on a FACS Aria III cell sorter (BD Biosciences) to obtain single B cells with the phenotype CD19+CD20+CD14-CD3-CD8-IgG+RSC3+RSC3 $\Delta$ 371I-. Single cells were then sorted into 96-well plates followed by RT-PCR, IgG cloning, and expression. Details are described in the Supplemental Experimental Procedures.

### Expression and Purification of DRVIA7 Fab, gp120, and gp140 Proteins

All proteins were produced transiently in either FreeStyle 293F or  $GnTI^{-/-}$  293S cells as previously described (Kong et al., 2013). In brief, after 4–7 days, the supernatants of the transfected cells were harvested and purified with a *Galanthus Nivalis* lectin column (Vector Laboratories) for gp120 or with a Kappa CaptureSelect column (BAC BV) for DRVIA7 Fab. All proteins were further purified by size exclusion chromatography (SEC).

#### Crystallographic Analysis of DRVIA7 Fab and gp120-Bound Complex

Crystals were obtained for DRVIA7 Fab and the complex of 93TH057 gp120 core bound to DRVIA7 Fab. The crystals diffracted to 2.9 Å and 3.4 Å resolution, respectively, and their structures were solved by molecular replacement. After refinement, final  $R_{cryst}$  and  $R_{free}$  values were 23.4% and 28.4% for DRVIA7 Fab, and 25.3% and 30.6% for gp120-bound DRVIA7 Fab complex, respectively (Table S2). Details are described in the Supplemental Experimental Procedures.

#### **Negative Stain Electron Microscopy**

JRFL SOSIP.664 trimers in complex with either DRVIA7 or DRVIA7H-VRC01L Fab were analyzed by negative-stain EM. Data were collected on either an FEI Tecnai T12 electron microscope or an FEI Talos electron microscope. Data processing and 3D reconstruction were performed as previously described (de Taeye et al., 2015). Crystal structures or EM volumes were fit into the 3D reconstructions to generate figures. Details are described in Supplemental Experimental Procedures.

#### Ion Torrent PGM Sequencing of Donor DRVI01 Antibody Libraries

Antibody library preparation and PGM sequencing of DRVI01 B cell repertoires were performed as previously described (He et al., 2014). In brief, the 5′-RACE PCR protocol was used to prepare unbiased antibody H,  $\kappa$ , and  $\lambda$  chain libraries for DRVI01, while the gene-specific multiplex PCR protocol was used to prepare antibody libraries for five LTNPs. Details are described in the Supplemental Experimental Procedures.

#### **Bioinformatics Analysis of Repertoire Sequencing Data**

The Antibodyomics 1.0 pipeline (He et al., 2014; Wu et al., 2011) was used to process the NGS data. Identity-divergence 2D analysis, cross-donor

phylogenetic analysis, and CDR3-based lineage tracing were used to dissect the details of DRVIA7 lineage at the three time points studied. Details are described in the Supplemental Experimental Procedures.

#### **HIV-1 Neutralization Assays**

Neutralization assays were performed on TZM-bl reporter cells using various panels of pseudoviruses as previously described (Wu et al., 2010). Neutralization curves were fit by a nonlinear regression analysis to derive IC $_{50}$  values for DRVI01 serum mapping and functional validation of DRVIA mAbs and variants. Details are described in the Supplemental Experimental Procedures.

#### **ACCESSION NUMBERS**

Coordinates and structure factors for Fab DRVIA7 and its complex with gp120 are deposited with the PDB under accession codes 5CD3 and 5CD5, respectively. The Fab DRVIA7:JRFL SOSIP.664 trimer and Fab DRVIA7H-VRC01L:JRFL SOSIP.664 trimer EM reconstructions are deposited in the EMDB under accession codes EMD-6372 and EMD-6373, respectively.

#### SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, five tables, and five figures and can be found with this article online at http://dx.doi.org/10.1016/j.immuni.2016.03.006.

#### **AUTHOR CONTRIBUTIONS**

Project design by L.K., B.J., Yajing Chen, L.H., L.R., J.L., Y.L., I.A.W., J.Z., and Y.S.; neutralizing antibody screening by K.H., L.R., and Y.S.; antibody isolation by Yajing Chen, R.W., and Y.L.; X-ray work and analysis by L.K., Y. Hua, M.C.D., and F.G.; EM work by G.O. and A.B.W.; antibody NGS, lineage tracing, and SGA analysis by L.H. and J.Z.; synthesis of antibody genes and construction of expression plasmids by B.J., X.J., and Z.W.; neutralization on the global and DRVI panels by K.H. and L.R.; expression and purification of antibodies and Env proteins by L.K., J.L., X.J., Yajing Chen, R.W., K.D., R.T.W., and Y. Hao; Env SGA performed by K.H., Yanli Chen, and Y.F.; antibody Fab construct design by Yajing Chen and R.W.; antibody binding assays by Yajing Chen, L.K., R.W., B.J., and X.J.; epidemiology and cohort maintenance by B.S. and K.H.; VRC01-resistant HIV-1 neutralization by S.O'D., K.M., and J.R.M.; neutralization on the 8-virus panel by Yajing Chen and R.W.; manuscript written by L.K., Y.L., I.A.W., J.Z., and Y.S. All authors were asked to comment on the manuscript. This is TSRI manuscript number 29101.

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